

Application for Business Relationship - Private Clients

1. Individual Address and Contact Details

Ms. Mr.

Family Name: _____

First Name: _____

Date of Birth: _____

Nationality: _____

Passport/ID Number: _____

Residential Address

Street/No.: _____

Postal Code: _____

Town/City: _____

Country: _____

Telephone: _____

E-mail: _____

2. Individual Profile

Details on Professional Activity:

Name of Company: _____

Type of Business: _____

Address of Company: _____

Position: _____

Are you self-employed: _____

Do you exercise any important public function? No Yes _____

Net Annual Income:

- Less than EUR 100'000
- EUR 100'000 to EUR 200'000
- More than EUR 200'000

Net Worth (Excluding main residence):

- Less than EUR 100'000
- EUR 100'000 to EUR 200'000
- More than EUR 200'000



3. Banking Details

Please provide details of the bank account that will be used to transfer funds to or from your account:

Name and Branch of Bank: _____
 Street/No.: _____
 Postcode: _____
 Town/City: _____
 Country: _____
 Account Holder's Name: _____
 Account Number: _____
 Swift / Sort Code: _____
 Currency: _____

Please indicate the economical generation of the funds to be transferred to our account:

Income Other, please specify: _____

4. Appropriateness

Please indicate your primary investment objective:

- To manage financial risk related to the weather (hedging purpose)
 To make speculative bet(s) on the weather (speculation purpose)

Please indicate your previous experience and average frequency of dealing in relation to the following types of investments:

(a) Cash Foreign Exchange / OTC Products

Length of experience:	Typical deal size:	Frequency of dealing:
<input type="checkbox"/> Less than 1 year	<input type="checkbox"/> Less than EUR 10'000.-	<input type="checkbox"/> Daily
<input type="checkbox"/> 1 - 3 years	<input type="checkbox"/> Less than EUR 50'000.-	<input type="checkbox"/> Weekly
<input type="checkbox"/> More than 3 years	<input type="checkbox"/> Greater than EUR 50'000.-	<input type="checkbox"/> Monthly
		<input type="checkbox"/> Less frequently

(b) Options:

Length of experience:	Frequency of dealing:
<input type="checkbox"/> Less than 1 year	<input type="checkbox"/> Daily
<input type="checkbox"/> 1 - 3 years	<input type="checkbox"/> Weekly
<input type="checkbox"/> More than 3 years	<input type="checkbox"/> Monthly
	<input type="checkbox"/> Less frequently



5. Risk Disclosure

Please also confirm your understanding of the following:

- (a) You understand that although your liability when you purchase Weather Derivatives Options (the “**Product**”) is limited to the premium paid, all long option positions are subject to time decay, and there is a probability that you will lose the entire amount invested. Yes No
- (b) You understand that the Product is held to maturity and cannot be sold nor terminated during the life of the contract. Yes No

6. Verification of the Beneficial Owner’s Identity

Please indicate the beneficial owner of the funds transferred to our account:

- You hereby declare that the contracting partner is indeed the final beneficial owner of the assets transferred to the account of CelsiusPro AG.
- You hereby declare that the beneficial owner of the assets is:

Individual:

Family Name: _____
 First Name: _____
 Date of Birth: _____
 Resident Address: _____

 Nationality: _____

Corporation:

Company Name: _____
 Legal Form: _____
 Date of Incorporation: _____
 Registered Address: _____

 Country of Incorporation: _____

You understand and undertake to inform us immediately in case of changes in the beneficial ownership of the account. You are made aware that information regarding beneficial ownership may be transmitted to the corresponding banks of CelsiusPro AG.
Please note that providing and filing false information in this Section 6 constitutes a penal crime according to article 251 of the Swiss Federal Penal Code.

7. Warrants & Covenants

- (a) Are you *or any beneficial owner* currently or expected to be the defendant in any legal case (pending or otherwise) with another regulated entity over any unpaid sums however caused. (If Yes please provide written details) No Yes
- (b) Can you be classified as a “Politically Exposed Person” under Anti-Money Laundering principles? (If Yes please provide written details) No Yes



8. ACKNOWLEDGEMENT AND SIGNATURE

This acknowledgement should be signed by you.

By signing this form, you acknowledge and agree that:

- You request and authorize CelsiusPro AG to establish a business relationship with you.
- The information that you have given on this form is true, accurate and complete.
- That you consent to any personal data submitted in this application to be processed in accordance with our Data Protection Policy as detailed on our website www.CelsiusPro.com/Privacy and that CelsiusPro AG may transfer this data to a country or territory outside of Switzerland or the European Economic Area (the "EEA").

Signature:

Signature:

Full name of signatory:

Full name of signatory:

Date and place:

Date and place:

9. Documentation Checklist

In order to establish a business relationship with you, we will need to receive the following documentation from you:

- (a) Application for Business Relationship - Individual Clients**
Please send us this form, duly completed, signed and dated. Please also keep a copy for your own records.
- (b) General Terms and Conditions**
Please attach the signed and dated general terms and conditions, which can be downloaded on our homepage. Please also keep a copy for your own records.
- (c) Identification Documents**
Please supply us with evidence of your identity and address (i.e. current signed passport, national identity card). In each case, we need to see a certified copy of the original or a proof of address in form of i.e. a utility bill. We reserve the right to ask for additional documentation if we consider it necessary to comply with anti-money laundering regulations.

